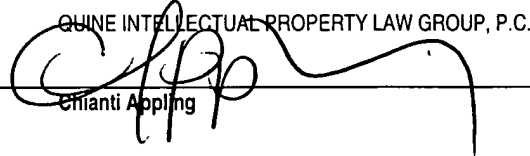


I hereby certify that this correspondence is being deposited with the United States Postal Service Express Mail Label No. **EV331047261US** in an envelope addressed to: Mail Stop Divisional Application, Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450, on **November 25, 2003**

By    
CHIANTI APPLING  
QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.

Attorney Docket No. 407T-894302US  
Client Ref. No. 99-022-3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Bocian, David F. et al.

Application No.:

Filed: October 28, 2003

For: HIGH DENSITY NON-VOLATILE  
MEMORY DEVICE

Examiner: Huan Hoang

Art Unit: 2818

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR § 1.97 and  
§ 1.98

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The references cited on the attached PTO-1449 form are being called to the attention of the Examiner to make of record references cited in parent application USSN 10/053,814 (now U.S. Patent 6,657,884) filed January 18, 2002. Pursuant to 37 CFR § 1.98(d), copies of references cited in parent application USSN 10/053,814 (now U.S. Patent 6,657,884) filed January 18, 2002 are not provided. However the applicants will gladly provide fresh copies of any references requested by the Examiner.

It is respectfully requested that the cited information on the attached 1449 form(s) be expressly considered during the prosecution of this application, and that references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 50-0893. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Tom Hunter', with a long horizontal flourish extending to the right.

Tom Hunter, J.D., Ph.D.  
Reg. No. 38,498

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# COPY FROM PARENT

(Modified) PTO/SB/08A-B (10-96)  
Approved for use through 10/31/99. OMB 0651-0031

Substitute for form 1449A-B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th colspan="2" style="text-align: left; padding: 2px;">Complete if Known</th> </tr> <tr> <td style="width: 60%; padding: 2px;">Application Number</td> <td style="padding: 2px;"><b>10/053,814</b></td> </tr> <tr> <td style="padding: 2px;">Filing Date</td> <td style="padding: 2px;"><b>January 18, 2002</b></td> </tr> <tr> <td style="padding: 2px;">First Named Inventor</td> <td style="padding: 2px;"><b>David F. Bocian</b></td> </tr> <tr> <td style="padding: 2px;">Group Art Unit</td> <td style="padding: 2px;"><b>2818</b></td> </tr> <tr> <td style="padding: 2px;">Examiner Name</td> <td style="padding: 2px;"><b>Huan H ang</b></td> </tr> <tr> <td style="padding: 2px;">Attorney Docket Number</td> <td style="padding: 2px;"><b>407T-894301US</b></td> </tr> <tr> <td style="padding: 2px;">Date Submitted</td> <td style="padding: 2px;"><b>April 9, 2003</b></td> </tr> </table>	Complete if Known		Application Number	<b>10/053,814</b>	Filing Date	<b>January 18, 2002</b>	First Named Inventor	<b>David F. Bocian</b>	Group Art Unit	<b>2818</b>	Examiner Name	<b>Huan H ang</b>	Attorney Docket Number	<b>407T-894301US</b>	Date Submitted	<b>April 9, 2003</b>
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U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, lines, Where Relevant Passages or Relevant Figures Appeal
		Number	Kind Code (if known)			
	1	3,637,581		Horiguchi et al.	1/25/1972	
	2	3,833,894		Aviram et al.	9/3/1974	
	3	4,618,509		Bulkowski	10/21/1986	
	4	4,663,270		Potember et al.	5/5/1987	
	5	4,670,860		Wilson	6/2/1987	
	6	4,728,724		Jones et al.	3/1/1988	
	7	4,781,443		Giles	11/1/1988	
	8	5,010,451		Ueyama et al.	4/23/1991	
	9	5,016,063		Beratan et al.	5/14/1991	
	10	5,035,835		Asakawa et al.	07/30/1991	
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	26	5,539,100		Wasielewski et al.	7/23/1996	

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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FOREIGN PATENT DOCUMENTS								
Examiner Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Office	Number	Kind Code (if known)				
	44	EP	0 272935	A3	Canon	6/29/1988		
	45	EP	0 307210	A2	Seiko	3/15/1989		
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	48	WO	99/24527	A1	OMD Devices	5/20/1999		
	49	WO	01/03126	A2	Univ. of California	1/11/2001		

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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
	50	"Ferrocene--Molecule of the Month" Jun. 1996, University of Oxford Web Page, <a href="http://www.ncl.ox.ac.uk/mom/ferrocene/ferrocene2.html">http://www.ncl.ox.ac.uk/mom/ferrocene/ferrocene2.html</a> .	
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84	ZHU ET AL. (1999) "Chemical Vapor Deposition of Organic Monolayers on Si(100) via Si-N Linkages" Langmuir 15: 8147-8154	

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